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U.S. Department of Commerce Patent and Trademark Office		Attorney's Docket No. 4817/0P
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		U.S. Application No. (if known, see 37 CFR 1.5) 09/530929
INTERNATIONAL APPLICATION NO. PCT/EP98/06951	INTERNATIONAL FILING DATE 3 November 1998	PRIORITY DATES CLAIMED 4 November, 1997; 28 March, 1998; 2 April, 1998
TITLE OF INVENTION SPECIFIC AND SENSITIVE NUCLEIC ACID DETECTION METHOD		
APPLICANT(S) FOR DO/EO/US KESSLER, HABERHAUSEN, BARTL AND ORUM		
Applicants herewith submit to the United States Designated/Elected Office (DO/EO/US) the following items and other information		
<ol style="list-style-type: none"> 1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C.371 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371 3. <input checked="" type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1). 4. <input checked="" type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date. 5. <input type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ol style="list-style-type: none"> a. <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau). b. <input type="checkbox"/> has been transmitted by the International Bureau c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US) 6. <input checked="" type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)). 7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ol style="list-style-type: none"> a. <input checked="" type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau). b. <input type="checkbox"/> have been transmitted by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made. 8. <input checked="" type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). [unexecuted] 10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). <p>Items 11 to 16 below concern other document(s) or information included:</p> <ol style="list-style-type: none"> 11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. <input checked="" type="checkbox"/> A FIRST preliminary amendment. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. 14. <input type="checkbox"/> A substitute specification. 15. <input type="checkbox"/> A change of power of attorney and/or address letter. 16. Other items or information: 		

U.S. APPLICATION NO. (if known, see 37 CFR 1.5) <div style="font-size: 1.5em; font-weight: bold; text-align: center;">09/530929</div>		INTERNATIONAL APPLICATION NO. PCT/EP98/06951		ATTORNEY'S DOCKET NUMBER 4817/0P	
17. [X] The following fees are submitted: <div style="margin-left: 20px;"> Basic National Fee (37 CFR 1.492(a)(1)-(5)): Search Report has been prepared by the EPO or JPO.....\$840.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) \$670.00 No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(.....)\$760.00 Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO.....\$970.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4).....\$96.00 </div>				<div style="border: 1px solid black; padding: 5px;"> CALCULATIONS/PTO USE ONLY </div>	
ENTER APPROPRIATE BASIC FEE AMOUNT =				<div style="border: 1px solid black; padding: 2px;">\$ 840.00</div>	
Surcharge of \$130.00 for furnishing the oath or declaration later than [] 20 [] 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				<div style="border: 1px solid black; padding: 2px;">\$</div>	
Claims	Number Filed	Number Extra	Rate		
Total claims	19 - 20 =	0	X18.00	\$	
Independent claims	2 - 3 =	0	X78.00	\$	
Multiple dependent claim(s) (if applicable)			+\$260.00	\$	
TOTAL OF ABOVE CALCULATIONS =				\$ 840.00	
Reduction by 1/2 for filing small entity, if applicable. Verified Small Entity Statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28).				\$	
SUBTOTAL =				\$ 840.00	
Processing fee of \$130.00 for furnishing the English translation later than [] 20 [] 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$	
TOTAL NATIONAL FEE =				\$	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +				\$	
TOTAL FEES ENCLOSED =				\$ 840.00	
				Amount to be refunded :	
				<div style="border: 1px solid black; padding: 2px;"> charged </div>	
a. [] A check in the amount of \$ _____ to cover the above fees is enclosed.					
b. [X] Please charge my Deposit Account No. <u>500812</u> in the amount of \$ <u>840.00</u> to cover the above fees. A duplicate copy of this sheet is enclosed.					
c. [X] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>500812</u> . A duplicate copy of this sheet is enclosed.					
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.					
SEND ALL CORRESPONDENCE TO:					
Victor K. Lee, Ph.D. Roche Molecular Systems, Inc. 1145 Atlantic Avenue Alameda, CA 94501			<div style="text-align: center;"> SIGNATURE <u>VICTOR K. LEE, Ph.D.</u> NAME <u>35.750</u> REGISTRATION NUMBER </div>		
Express Mail No. EK614634676US Date: May 4, 2000					

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application
Based on International Application No. PCT/EP98/06951
Filed November 3, 1998
Inventor(s) KESSLER *et al.*

For: **SPECIFIC AND SENSITIVE NUCLEIC ACID DETECTION METHOD**

Attorney Docket No. 4817/0P

PRELIMINARY AMENDMENT

Assistant Commissioner for Patents
Box PCT
Washington, D.C. 20231

Alameda, CA 94501
Date: May 4, 2000

Sir:

Prior to examining the above-referenced application as entering the National Stage under 35 U.S.C. §371, please consider the following amendments and remarks.

IN THE CLAIMS:

At page 1, line 1, of the amendment of claims under PCT Article 19, please delete "Claims" and insert therefor --WHAT IS CLAIMED IS: --.

Please amend the claims as follows:

1. (Amended) A method [Method] for the detection of a nucleic acid comprising the steps:

(a) - producing a plurality of amplificates of a section of the [this] nucleic acid with the aid of two primers, one of which can bind to a binding sequence (A) of one strand of the nucleic acid and the other can bind to a binding sequence C' which is essentially complementary to a sequence C which is located in the 3' direction from A and does not overlap A,

(b) - contacting the amplificates with a probe having a binding sequence D which can bind to a sequence B located between the sequences A and C or to the complement thereof, and

(c) - detecting the formation of a hybrid of the amplificate and probe,

wherein the sequence located between the binding sequences A and C contains no nucleotides that do not belong to the sequence region E formed from the binding sequence D of the probe and the sequence of the amplificate bound thereto and the amplificate does not exceed a total length of 100 nucleotides.

2. (Amended) The method of [Method as claimed in] claim 1, wherein the binding sequence D of the probe overlaps one or both binding sequences of the primers.
3. (Amended) The method of claim 1 [Method as claimed in one of the previous claims], wherein at least one of the primers has nucleotides in its non-extendible part which do not hybridize directly with the nucleic acid to be detected or with its complement.
4. (Amended) The method of claim 1 [Method as claimed in one of the previous claims], wherein at least one of the binding sequences is not specific for the nucleic acid to be detected.
5. (Amended) The method of claim 1 [Method as claimed in one of the previous claims], wherein the total length of the amplificates does not exceed 61 nucleotides.

6. (Amended) The method of claim 1 [Method as claimed in one of the previous claims], wherein at least one of the primers is immobilizably-labelled and the probe is detectably-labelled.
7. (Amended) The method of claim 1 [Method as claimed in one of the previous claims], wherein at least one of the primers is detectably-labelled and the probe is immobilizably-labelled or is immobilized.
8. (Amended) The method of claim 1 [Method as claimed in one of the previous claims], wherein the probe is labelled with a fluorescence quencher as well as with a fluorescent dye.
9. (Amended) The method of claim 1 [Method as claimed in one of the previous claims], wherein one of the primers labelled with a first energy transfer component and the probe is labelled with a second energy transfer component which is different from the first energy transfer component.
10. (Amended) The method of claim 1 [Method as claimed in one of the previous claims], wherein the amplificate is detected by physical and/or spectroscopic methods.
11. (Amended) The method of claim 1 [Method as claimed in one of the previous claims], wherein at least one of the primers is not specific for the nucleic acid to be detected.
12. (Amended) The method of [Method as claimed in] claim 11, wherein two of the primers are not specific for the nucleic acid to be detected.
13. (Amended) The method of claim 11 [Method as claimed in one of the claims of 11 and 12], wherein the probe is not specific for the nucleic acid to be detected.

14. (Amended) The method of claim 1 [Method as claimed in one of the previous claims], wherein nucleotides which are each complementary to A, G, C and T are used in the amplification.
15. (Amended) The method of claim 1 [Method as claimed in one of the previous claims], wherein the amplicates are detected by means of mass spectroscopy.
16. (Amended) A method [Method] for the specific detection of a nucleic acid comprising the steps:
 - (a) - producing a plurality of amplicates of a section of the [this] nucleic acid with the aid of at least two primers,
 - (b) - contacting the amplicates with a probe which can bind to the amplicate, and
 - (c) - detecting the formation of a hybrid of the amplicate and the probe,wherein at least one of the primers is not specific for the group of organisms to which the organism to be detected belongs and the total length of the amplicate does not exceed 100 base pairs.
17. (Amended) The method of [Method as claimed in] claim 16, wherein two of the primers are not specific for the nucleic acid to be detected.
18. (Amended) The method of claim 16 [Method as claimed in one of the claims 16 and 17], wherein the probe is not specific for the nucleic acid to be detected.
19. (Amended) The method of claim 16 [Method as claimed in one of the claims 16 to 18], wherein nucleotides which are each complementary to A, G, C and T are used in the amplification.

REMARKS

Applicants have amended the claims to comply with U.S. patent practice in matters of form and to remove certain multiple dependency. After entry of this Amendment, claims 1-19 are pending in this application. The amendments do not introduce new matter. Entry of this Amendment is respectfully requested.

The total filing fee on the Transmittal Letter To The United States Designated/Elected Office (DO/EO/US) Concerning A Filing Under 35 U.S.C. §371 is calculated on the basis of this Amendment.

Respectfully submitted

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7/PART

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Specific and sensitive nucleic acid detection method

The invention concerns a method for detecting nucleic acids in which a section of these nucleic acids is amplified whereby this section must fulfil certain conditions with regard to its base sequence and it also concerns a reagent kit containing two primers and a probe which define this section.

One of the most frequently employed molecular-biological methods for detecting nucleic acids is hybridization with sequence-specific probes to detect homologous nucleic acid sequences. The detection of nucleic acid sequences is important for basic research but is of particular importance in various fields of application e.g. in the fields of medical diagnostics, forensic diagnostics, food diagnostics, environmental diagnostics, plant protection and veterinary medicine.

Either oligonucleotides (short DNA or RNA) or polynucleotides (longer DNA or RNA) are used as probes for this. An advantage of the shorter probes compared to the longer probes is that they have a better sequence selectivity due to the shorter hybridization region but they have the disadvantage of lower sensitivity. An improved sensitivity and sequence selectivity is achieved with PNA probes (peptide nucleic acids, e.g. WO 92/20702) since these probes have a higher binding affinity for nucleic acids (higher T_m) and are characterized by a higher base discrimination (ΔT_m). Probes can additionally carry marker groups for nucleic

acid detection which are suitable either for capturing and/or detecting hybrid complexes of the probe and nucleic acid to be detected.

In order to detect nucleic acids by hybridization, one or several probes are used either for hybridization in solution or on solid supports. Nucleic acid tests in solution are referred to as homogeneous test formats whereas tests on solid supports and/or mediated by solid supports are referred to as heterogeneous test formats. In the heterogeneous test format (e.g. dot blot) the nucleic acid to be detected can be pre-bound to the solid support. Hybridization is carried out by contact with a solution which contains the probe. Conversely, the probe can be pre-bound to the solid support (e.g. reverse dot blot). The hybridization is carried out by contacting the bound probe with a solution which contains the nucleic acid to be detected. In homogeneous test formats probe pairs are for example used which carry terminal energy-transferring groups and are brought into direct contact by co-hybridization to the nucleic acid to be detected and thus generate a signal.

The detection of nucleic acids solely by probe hybridization has only a limited sensitivity. Thus only a sensitivity in the pg to fg range is possible even when using sensitive detection marker groups such as ^{32}P , digoxigenin, biotin, fluorescein, ruthenium chelates, fluorescein, rhodamine or AMCA. However, sensitivities in the ag range and a high test specificity is required for a sensitive nucleic acid test especially in the medical-diagnostic field. This applies to the detection of exogenous nucleic acids e.g. in the form of infectious pathogens as well as to the detection of the presence or absence or change of

endogenous nucleic acids. A high test sensitivity and test specificity is, however, also very important in the other stated fields of application.

Thus some infectious pathogens such as e.g. HCV, HIV and HBV have to be detected even when there are only a few copies for a timely medical intervention e.g. by an early drug treatment. The detection of nucleic acid sequences of the pathogen is an advantage for such early tests for pathogens since a sensitive detection is already possible in an early phase of infection (latency phase) due to the availability of nucleic acid amplification methods (nucleic acid multiplication methods). The specific amplification of the agent to be detected is only possible in the case of nucleic acids but not in the case of immunological detection methods. In these methods an increase of the particles that are specific for the pathogen to be detected is only possible by means of the humoral immune response and formation of corresponding antibodies that are specific for the pathogens; however, this immune response only occurs after the latency period and it is a secondary reaction after infection by the pathogen. Therefore detection by means of nucleic acid hybridization has the advantage that the pathogen can be detected very sensitively directly after infection.

However, the success of medical intervention depends not only on being able to detect the pathogen at an early stage with high sensitivity but also very specifically. Therefore in order to treat specifically it is important to differentiate between various pathogens and to differentiate between individual subtypes or variants such as HIV-1 and HIV-2 or e.g. HBV, HCV and HGV. In this connection it is also important to have

quantitative information and no false-positive or false-negative results since such erroneous results can under certain circumstances have serious therapeutic consequences. This requires accuracy and high reproducibility of the results. Therefore the nucleic acid detection must not only be very sensitive but also very specific and reproducible. The specific and sensitive nucleic acid test must also be carried out rapidly so that specific treatment can begin immediately.

It is often also important to detect several pathogens such as e.g. HCV, HIV and HBV simultaneously e.g. as part of blood bank screening tests. In the current nucleic acid detection tests this is carried out by successive individual determinations of the pathogens to be detected. A disadvantage of this is that several determinations have to be carried out one after the other which is a particular disadvantage when screening a large number of specimens. The availability of sensitive and specific test methods which for example allow a rapid concurrent determination of several pathogens in parallel in a single sample (multiplex determination) is desirable for such nucleic acid determinations.

The availability of specific and sensitive nucleic acid detection methods is also advantageous for the detection of the presence or absence of endogenous nucleic acids within certain genomic loci and/or of changes thereof e.g. hereditary, spontaneous or a mixture of hereditary and spontaneous mutations, deletions, inversions, translocations, rearrangements or triplet expansions in the form of specific and/or polymorphous changes. However, the availability of specific and sensitive

nucleic acid detection methods is also very important in other fields of applications mentioned above.

The previous test procedures for sensitively and specifically detecting the presence or absence of nucleic acids are based on combined nucleic acid amplification reactions (nucleic acid multiplication) and nucleic acid detection reactions (detection).

For this the nucleic acid to be detected is used in a form that is suitable for the amplification reactions e.g. in the form of untreated or treated sample material and/or sample material concentrates e.g. by adsorption of the untreated or treated sample material to the surface of a solid support and subsequent resorption from this solid support. Such solid supports are for example solid supports with glass-containing surfaces. These solid supports do not substantially purify and/or isolate the nucleic acids to be detected but only result in a concentration of the sample material and may lead to inactivation and/or elimination of inhibitors of the subsequent nucleic acid amplification and detection reactions. These solid supports also enable the provision of several nucleic acids to be detected e.g. in a multiplex method, in a form that is suitable for the nucleic acid amplification and detection reactions.

Other sample preparation methods include specific process steps for the nucleic acid-specific and/or sequence-specific binding of the nucleic acid to be detected e.g. by using solid supports with nucleic acid-specific binding groups and/or nucleic acid capture probes to selectively bind and release the nucleic acid to be detected by nucleic acid-specific binding and

subsequent dissociation between the binding group and/or carrier-bound capture probe and nucleic acid to be detected. Nucleic acid specific binding groups and/or nucleic acid capture probes on the surface of the solid support are necessary for this type of solid support. Thus in order to prepare several nucleic acids to be detected e.g. for a multiplex method, it is either necessary to have several solid supports which is more complicated or to have solid supports with one or several binding groups and/or with multiple or several capture probes. Multiple capture probes contain several binding sequences for several nucleic acids to be detected. These supports with several binding groups and/or several and/or multiple capture probes are, however, more complicated to prepare. In addition it is more difficult to adjust the reaction conditions for the specific binding of several nucleic acids to be detected to a support containing several binding groups or/and capture probes or for binding several types of nucleic acids to be detected to a nucleic acid-specific binding group or to a capture probe with several complementary hybridization sequences.

The amplification and the detection of the prepared nucleic acids to be detected is carried out in heterogeneous or homogeneous nucleic acid amplification test formats. The nucleic acid amplification reactions and detection reactions can either be carried out successively (heterogeneous test methods) or simultaneously (homogeneous test methods). Target-specific nucleic acid amplification reactions, target-dependent signal-nucleic acid amplification reactions or signal nucleic acid amplification reactions are used as the amplification reactions. Detection systems for detecting amplified nucleic acids are either based on

the incorporation of nucleotides and/or the use of labelled primers or labelled probes. The detection systems that are used contain either direct or indirect detection labels or coupled secondary and tertiary detection components. However, the amplified nucleic acids to be detected can also be detected by spectroscopic or physical methods.

The previous nucleic acid amplification detection method with integrated signal-nucleic acid amplification reactions have the disadvantage of lower sensitivity due to the non-exponential signal amplification, increased susceptibility to interference due to a stronger tendency for background signal generation as a result of the large number of probe components and the formation of unspecific detection signals since it is not the nucleic acid to be detected which is amplified target-independently but only a detection signal which is coupled thereto. Examples are coupled signal cascades (e.g. SELF cycle) or signal-generating probe tree or brush structures (e.g. branched DNA).

The previous nucleic acid amplification detection methods with integrated target-dependent signal-nucleic acid amplification reactions are more sensitive than the pure signal-nucleic acid amplification methods due to the exponential increase in signal, but they in turn have the disadvantage that unspecific detection signals are formed since it is not the nucleic acid to be detected as such that is enzymatically amplified in a target sequence-independent manner but only a detection signal derived in an initial target-dependent primary reaction in the form of a nucleic acid reporter molecule. Examples are the Q β replication reaction in which a Q β reporter molecule is amplified enzymatically

or the ligase chain reaction in which sections of the nucleic acid reporter molecules are enzymatically linked in a sequence-independent manner.

The nucleic acid amplification products that have been generated by the previously most sensitive and specific exponential target-specific nucleic acid amplification reactions such as e.g. PCR (US-A-4,683,202 or EP-B-0 202 362), RT-PCR, SDA, NASBA (EP-A-0 329 822) or TAM were single or double-stranded nucleic acid amplification products produced by target sequence-dependent thermocyclic or isothermal enzymatic elongation of primers running in opposite directions that are sequence-specific for the nucleic acid to be detected and bind to the ends of the nucleic acid amplification unit (amplicon) of the deoxyribonucleic acids or ribonucleic acids to be detected or to complements thereof and thus restrict the nucleic acid amplification products. All four base specificities are incorporated in these elongation reactions.

The said nucleic acid amplification detection methods with an integrated target-specific nucleic acid amplification reaction are the most specific due to the target sequence-dependent enzymatic nucleic acid amplification cycles. Whereas linear target-specific nucleic acid amplification reactions such as e.g. the cycling probe reaction only lead to a limited sensitivity, exponential target-specific nucleic acid amplification reactions such as elongation-based reactions such as e.g. the polymerase chain reaction (PCR, RT-PCR) or transcription-based reactions such as e.g. nucleic acid sequence based amplification (NASBA) or transcription mediated amplification (TMA) have previously resulted in the most sensitive and specific

signals.

Although mixed forms of target-dependent signal nucleic acid amplification and target-specific nucleic acid amplification such as e.g. the gap-filling ligase chain reaction (gap-filling LCR, WO 90/01069) have a target-dependent reaction step compared to the non-modified LCR, this is, however, restricted to limited sequence sections that are only composed of 1 or 2 base specificities and thus have a limited target specificity.

Various methods are available to detect the nucleic acid that is formed. Detection of the generated nucleic acid amplification products by means of fragment or sequence gel analysis is time-consuming and non-quantitative. Detection by means of carrier-bound dot, slot or reverse dot blot methods is also time-consuming and non-quantitative.

Sensitive and specific quantitative determinations of the nucleic acids to be detected have previously been possible in heterogeneous or homogeneous target-specific exponential nucleic acid amplification reaction formats in which the nucleic acid amplification product is captured in a part of the sequence section that is formed by elongation either by an incorporated label or by hybridization with a specific probe for the nucleic acid to be detected or its complement. Exponential nucleic acid amplification reaction formats in which an intercalation of nucleic acid binding dyes occurs are also sensitive but not sequence-specific.

In heterogeneous reaction formats the nucleic acid amplification product is bound to a solid support for example either by means of a primer capture modification or by means of an immobilized capture probe which is complementary to an internal sequence section of the nucleic acid amplification product and is detected as a result of incorporation of a detection-labelled nucleotide, by hybridization with a detection-labelled probe which is complementary to an internal sequence section of the nucleic acid amplification product or by means of a primer detection modification. In homogeneous reaction formats the detection has previously been carried out for example by hybridizing a probe which is complementary to an internal sequence section of the nucleic acid amplification product and which carries a quenched fluorescent label in which case there is a target sequence-dependent enzymatic abolition of the quenching by the primer elongation-dependent release of the quenched fluorescent labelled nucleotide (WO 92/02638).

Nucleic acid amplification units (amplicons) have been used in all previous quantitative sensitive and specific heterogeneous and homogeneous target-specific exponential nucleic acid amplification reaction formats which have contained additional sequences of variable length between the flanking primer binding sequences and the internal probe binding sequence in addition to the specific primer and probe binding sequences. This five-part amplicon structure resulted in amplicon lengths that are larger than the sum of the sequence lengths of the two flanking primers and of the internal probe of between preferably 100 and 1000 base (pairs). Optimization of the nucleic acid amplification reaction by improved enzyme mixtures have previously been mainly

directed towards longer nucleic acid amplification products.

Shorter amplicon lengths have previously been generated only for the detection of special sequences such as e.g. in triplet expansions, for in-situ examinations or the detection of greatly fragmented nucleic acids as part of age research. However, these short amplicon lengths were detected in time-consuming gel formats or in-situ formats which are characterized by poor sensitivity and/or lack of quantification. Other special short sequences such as short tandem repeats, short interspersed repetitive elements, microsatellite sequences or HLA-specific sequences have previously been only used as primer or probe binding sequences.

The five part nucleic acid amplification products have the disadvantage that, in addition to the specific sequences that bind primers and probe, they have additional sequences which extend the amplicon and reduce the overall specificity with regard to the specificity-generating primer and probe binding reactions.

Previously used longer five-part nucleic acid amplification products have the additional disadvantage of longer primer elongation times and thus longer overall test times. The sensitivity is also limited by plateau effects of the participating enzymes and substrates which are reached earlier with longer amplicons. A further disadvantage of longer nucleic acid amplification products is a competition between the amplicon complementary strand and the detector or capture probe and thus a reduced sensitivity. A further

disadvantage is the increasing chance of unspecific binding due to the additional sequences resulting in an increased background and thus lower sensitivity (lower signal-noise ratio). A further disadvantage when the nucleic acid amplification product is bound to carrier-bound capture probes is steric and kinetic hindrance of longer nucleic acid molecules; consequently nucleic acid amplification products of the former lengths have been preferably fragmented before binding to the capture probe. An additional disadvantage is the increased susceptibility to fragmentation within the amplicon sequence and thus destruction of the nucleic acid amplification unit; this leads to a lower reproducibility. An additional disadvantage is that longer nucleic acid amplification products hybridize less specifically at low test temperatures of e.g. 37°C which are preset in conventional nucleic acid analyzers since there is a larger difference to the melting temperature. A further disadvantage of five part nucleic acid amplification products when detecting several different nucleic acid amplification products is that different nucleic acid amplification lengths are formed which make it more difficult to carry out a multiplex test.

The aim of the present invention was to provide an alternative detection method for nucleic acids which has advantages over the previously described methods.

A special object of the invention was to provide a target-dependent exponential nucleic acid amplification method for the highly sensitive, highly specific, reproducible and quantifiable detection of one or several single-stranded or double-stranded nucleic acids which in particular avoids one or several of the said

disadvantages.

A further object of the invention was to make the selection of the primer and probe sequences so flexible that it is possible to determine several different nucleic acids to be detected in a standardized reaction format using primer or probe sequences that are partially identical.

The invention concerns a method for the production of a plurality of amplificates of a section of this nucleic acid with the aid of two primers, one of which can bind to a first binding sequence (A) of a strand of the nucleic acid and the other can bind to a second binding sequence (C') which is complementary to a sequence C which is located in the 3' direction from A and does not overlap A, contacting the amplificates with a probe having a binding sequence D which can bind to a third sequence (B) located between the sequences A and C or to the complement (B') thereof, and detecting the formation of a hybrid of an amplificate and the probe wherein the third sequence (B) located between the binding sequences A and C or the complement (B') thereof contains no nucleotides that are not part of the sequence section E formed from the binding sequence D of the probe and the sequence of the amplificate bound thereto. The length of the probe is preferably of the same size or larger than the sequence B or the complement B'.

The invention also concerns a reagent kit for carrying out this method.

Fig. 1 shows schematically the notation used in the present description for the regions on the nucleic acid

to be detected.

Fig. 2 shows the corresponding notation for the elongation products of the primers formed as intermediates as well as for the amplificates (amplicons). It also shows that the amplificates can have one or several additional regions Y which are outside the region that contains the sequence information derived from the nucleic acid to be detected.

Fig. 3 shows schematically the arrangement of the binding sequences of the primers and probe in the present invention. There are various alternatives I to VI depending on whether and how the binding sequences overlap. Only one strand of the amplificate is shown in each case. The same arrangement (only complementary) can be constructed for a second strand of the amplificate. The picture is similar for the elongation products formed as intermediates. Cases V and VI show that, in addition to the binding sequence D, the probe contains additional regions X which can be the same or different and do not form base pairs with the amplificate. The prior art case is shown as VII for comparison.

Fig. 4 shows sequences of the utilized regions i.e. A', B and C.

Fig. 5 shows further preferred primers and probes. The combination with the probe of line 3 is in this case especially preferred.

Fig. 6 and 7 also show primers and probes which are either unspecific or in which there are no nucleotides left between primers and probe.

Fig. 8 shows a particularly short section of the HCV genome with the aid of which short amplicons can be produced.

Nucleic acids which can be detected with the method according to the invention can be of any origin such as nucleic acids of viroidal, viral, bacterial or cellular origin. Samples (specimens) which contain the nucleic acid sequences to be detected or complements thereof are for example human, animal, bacterial or plant liquids, excrements, smears, cell suspensions, cultures or tissue, cell or liquid biopsies. The nucleic acids are preferably present in solution. In order to realize the full advantages of the method according to the invention it has proven to be advantageous when the nucleic acid to be detected has a size of at least 40 bp. The nucleic acid can also be a nucleic acid prepared by cloning and in vivo replication.

The nucleic acid to be detected can be single-stranded (especially in the case of RNA) or completely or partially double-stranded (especially in the case of DNA). In the case of double-stranded nucleic acids, both strands can be amplified or only one of them. Single or double-stranded amplificates can be formed from both types of nucleic acids and one or both can be used for the subsequent detection. The sequence of the probe or the probes is selected accordingly. It is particularly complementary to the strand of the amplificate which can be used for the further detection.

Positive or negative control nucleic acids or quantification standards which have been treated identically to the nucleic acids to be detected can be

added to the sample or to a control sample (internal or external standard, internal or external control). Suitable standards are for example internal or external, heterologous DNA or RNA standards containing primer binding sequences that are homologous to sequences of the nucleic acid to be detected and probe binding sequences that are heterologous to sequences of the nucleic acid to be detected. Conversely it is also possible to use primer binding sequences that are heterologous especially in the 3' priming region and homologous probe binding sequences. Analogous specimens are preferably used as negative controls which do not contain the nucleic acids to be detected or complements thereof.

The sample is preferably subjected to one or several pretreatment steps before amplification in order to convert the nucleic acids to be detected into a form which can be amplified. In a first optional step the sample (specimen) is pretreated such that the sample is brought into a form from which the nucleic acid to be detected can be converted into a form suitable for converting the pretreated sample into a suitable form for amplification (e.g. separation of interfering components from the sample).

The type of sample pretreatment depends on the sample type and the complexity of the biological material in the sample. In the case of human body fluids such as e.g. human blood, blood cells are firstly separated in a preferred embodiment in order to produce plasma, serum or blood cell concentrates. This separation step and sample pretreatment considerably reduces the complexity of the biological sample material in the resulting fractions without substantially isolating the nucleic

acid to be detected. In the case of sputum or smears the sample is pretreated for example by suspending the sputum or the smear in a liquid or in the case of urine for example by centrifuging and processing the fractions that are obtained. In the case of tissue biopsies the specimens are pretreated for example by suspension and treatment with an agent that dissolves the cell formations. Samples of cerebrospinal fluid are pretreated for example by centrifugation and processing the fractions obtained. In these cases the sample pretreatment also reduces the complexity of the biological sample material.

This can be followed by a step in which the nucleic acid to be detected from the pretreated sample is converted into a form that is suitable for amplification. Known methods are preferably used for this. In a preferred embodiment the pretreated sample is lysed in a first reaction step to release the nucleic acid to be detected e.g. by proteinase K treatment at elevated temperatures or by alkali in the case of deoxyribonucleic acids. In a second step the sample pretreated by lysis is concentrated by attachment to the surface of a solid support and subsequent resorption from this solid support after addition of chaotropic agents such as e.g. guanidinium hydrochloride or urea in the presence or absence of soluble alcohols such as e.g. isopropanol. Such solid supports are for example solid supports with glass-containing surfaces (e.g. magnetic particles, glass fleeces with glass-containing surfaces, particles, microtitre plates, reaction vessels, dip-sticks or miniaturized reaction chambers which can in turn also be a part of integrated reaction chips). These solid supports preferably result in a non-sequence specific purification i.e. there is not a substantial isolation

of the nucleic acids to be detected from other nucleic acids but only a concentration of sample material (nucleic acids) and optionally an inactivation and/or elimination of inhibitors of the subsequent nucleic acid amplification and detection reactions. These solid supports also enable several nucleic acids to be provided in a form that is suitable for nucleic acid amplification and detection reactions e.g. as part of a multiplex method.

In another embodiment the nucleic acid to be detected from the pretreated sample can be converted after nucleic acid release in a first step by for example proteinase K treatment at elevated temperatures or by alkali in the case of deoxyribonucleic acids. In a second step the lysed pretreated sample is contacted with solid supports which are specifically modified with nucleic acid-specific binding groups and/or capture probes in order to selectively bind the nucleic acid to be detected and subsequently the bound nucleic acid to be detected is eluted again by dissociation between the binding group and/or carrier-bound capture probe and nucleic acid to be detected. Examples of nucleic acid-specific binding groups are PNA homopyrimidine oligomers such as e.g. (T)₇-PNA or nucleic acid-binding low molecular substances such as e.g. nucleic acid intercalators, major groove-binders or minor groove-binders. Examples of capture probes that are specific for the nucleic acid to be detected are nucleic acid oligomers or nucleic acid polymers that have binding sequences for one or several nucleic acids to be detected. Other examples of capture probes that are specific for the nucleic acid to be detected are PNA oligomers that have binding sequences for one or several nucleic acids to be detected. The nucleic acid-specific

binding groups or the capture probes can be bound to the solid support with or without intermediate spacers either covalently or by means of binding pairs such as e.g. biotin:streptavidin or Ni:chelate.

The nucleic acid sequences used for amplification can be linear or circular and contain sequence modifications and/or other modifications such as e.g. natural or artificial nucleotide analogues or equivalents thereof or base analogues or equivalents thereof or can be methylated, capped, polyadenylated or modified by other means. The nucleic acids or complements thereof used for the amplification can be of natural origin, or they can be fragmented, modified or enzymatically, e.g. with the enzyme uracil deglycosylase (UNG), or physically pretreated, preamplified, or be produced chemically, photochemically or enzymatically e.g. by chemical oligonucleotide synthesis or in vitro replication, in vitro reverse transcription or in vitro transcription.

In the first essential step of the method according to the invention a segment of the nucleic acid to be detected is amplified. This segment is also referred to as an amplicon in the following. It is essential that this contains the sequence region between the outer ends of the binding sequences A and C' or of the complement thereof of the primers and contains the binding region E of the probe or of the complement thereof. According to the present invention the amplicon (preferably the total length of the sequences of the regions A, B and C) is preferably shorter than 100 nucleotides, particularly preferably shorter than 60 nucleotides, but preferably longer than 40 nucleotides. However, this does not mean that the total length of the amplicates cannot be larger e.g. when the primers have additional

nucleotides. Amplification methods are used which allow an amplification of the nucleic acid to be detected or the complement thereof and result in the formation of tripartite mini-nucleic acid amplification products. In principle all nucleic acid amplification methods that are known in the prior art can be used for this. Target-specific nucleic acid amplification reactions are preferably used. Theoretically exponential target-specific nucleic acid amplification reactions are particularly preferably used in which an anti-parallel replication of the nucleic acid to be detected or of its complement is carried out e.g. elongation-based reactions such as the polymerase chain reaction (PCR for deoxyribonucleic acids, RT-PCR for ribonucleic acids) or transcription-based reactions such as e.g. nucleic acid sequence based amplification (NASBA) or transcription mediated amplification (TMA). Thermocyclic exponential elongation-based nucleic acid amplification reactions are particularly preferred such as e.g. the polymerase chain reaction. The nucleic acids to be detected or complements thereof which are used for the amplification can be present in the form of single-stranded or double-stranded deoxyribonucleic acids or ribonucleic acids. The aim of the amplification reaction (amplification) is to produce numerous amplicates of a segment of the nucleic acid to be detected. Hence an amplicate is understood as any molecular species produced by using sequence information of the nucleic acid. In particular the term refers to nucleic acids. The term "amplicate" includes single-stranded as well as double-stranded nucleic acids. In addition to the regions containing the sequence information of the underlying nucleic acid (amplicon), an amplicate can also contain additional regions which are not directly related to sequences of the nucleic acid to be amplified that are outside the

ends of the primer binding sites which face away from another. Such sequences with a length of more than 15 nucleotides preferably do not occur on the nucleic acid to be detected or its complement and cannot hybridize with it by direct base pairing. Hence amplificates can either hybridize with the nucleic acid to be detected itself or with its complement. Amplificates are for example also products of an asymmetric amplification i.e. an amplification in which the two strands are formed in different amounts (e.g. by using different amounts of primers) or in which one of the two strands is subsequently destroyed (e.g. by RNase).

A primer in the sense of the present invention is understood as a molecule which can bind by means of base pairing to a nucleic acid and which can be elongated preferably enzymatically. Oligonucleotides are preferred which can be elongated at their 3' end using the nucleic acid to be detected or a complement thereof as the template nucleic acid. Monovalent or multivalent or monofunctional or multifunctional agents can be used as primers which allow a nucleic acid-dependent elongation. Preferred primers are oligomers or polymers with a binding length between 9 and 30 nt which bind anti-parallel to the nucleic acid to be detected and which act as one of several reaction partners for an enzymatic replication of the nucleic acid to be detected or its complement. Oligomers are particularly preferably used as primers which, after adding an amplification reagent, initiate a directed replication of one or both strands of the nucleic acid to be detected or of its complement by attachment of at least a part of the primer to the nucleic acid to be detected or to its complement. An example of a particularly preferred primer is an oligonucleotide with a free 3' hydroxyl end.

The agents used as primers can contain one or several binding sequences for one or several nucleic acids to be detected or complements thereof and can contain sequence modifications, terminal and/or internal sequence extensions and/or other modifications such as e.g. natural or artificial nucleotide analogues or equivalents thereof, non-functional nucleotide analogues or equivalents thereof or base analogues or equivalents thereof or they can be methylated, capped or polyadenylated or be modified in other ways. It is necessary that they have the required binding properties to the nucleic acid to be detected or its complement and can be elongated. Preferred nucleotide equivalents are PNA monomers or PNA oligomers (WO 92/20702) with or without positive and/or negative charges in the backbone and/or in the spacer. The agents used as primers can carry modifications which are either directly suitable for detection and/or binding to a solid support or are indirectly suitable via an additional binding pair. Preferred primer modifications are fluorescent dyes e.g. fluorescein, rhodamine, AMCA or derivatives thereof, a partner in one of the binding pairs biotin:(strept)avidin, digoxigenin:anti-digoxigenin, digoxigenin:anti-digoxigenin coupled with aequorin, fluorescein:anti-fluorescein or ruthenium or rhenium-chelate or aequorin. Particularly preferred primer modification is biotin as a capture or detection modification. The primers can contain additional sequence regions Y especially at their 5' end (fig. 2). In this case 5'-3' linkages as well as 5'-5' linkages and/or 5'-2' linkages are possible. Moreover they can have additional structural components such as spacers, immobilizable groups or solubility-mediating parts of the molecule or they can have regions that can be activated such as AP sites with regard to priming activity.

A probe is understood as a molecule which can hybridize

with nucleic acids as a result of base-base interactions. Hence preferred probes are oligonucleotides and base-containing nucleic acid mimetics such as peptide nucleic acids (PNA). The length of a probe with reference to the binding sequence D is preferably between 9 and 30 bases.

PNA oligomer probes with or without positive or negative charges in the backbone and/or spacers have the additional advantage that they are resistant to degradation by nucleases or proteases due to the different structure of the backbone and of the H or NH₂ ends, have a higher melting point in binding complexes between nucleic acids and PNA than between two nucleic acid molecules and thus the hybrid complex is more stable, they can be used at low salt concentrations, there is a larger difference in the melting points in the case of mismatches and thus a better mismatch discrimination is possible, sequences with secondary structures are more accessible at low salt concentrations, competition between the amplicon opposite strand and probe is lower at low salt concentrations and thus a higher signal yield is achieved and the amplicon denaturing step can be potentially eliminated at low salt concentrations.

Monovalent or multivalent agents which allow a binding of amplification-dependent elongation products and/or amplified nucleic acid sequences can be used as probes. Oligomers or polymers can be preferably used as probes which bind anti-parallel to the nucleic acid to be detected. Oligomers are particularly preferably used as probes which, as a result of the attachment of at least part of the probe to the nucleic acid to be detected or to its complement, result in a stable binding to one or both strands of the nucleic acid to be detected or to

its complement in the subsequent reactions. The oligomers can contain 5'-3' linkages as well as 5'-5' linkages and/or 5'-2' linkages or additional structural components such as e.g. spacers or solubility-mediating parts of the molecule.

A binding sequence is preferably understood as the sequence of bases located between the outermost bases of a particular nucleic acid, primer or probe which bind to a particular nucleic acid, primer or probe via base-base interactions including these outermost bases.

The agents used as a probe can contain one or several binding sequences D for one or several nucleic acids to be detected or their complements and especially for one strand of the amplificate and can contain sequence modifications, terminal and/or internal sequence extensions and/or other modifications such as e.g. natural or artificial nucleotide analogues or equivalents thereof, non-functional nucleotide analogues or equivalents thereof or base analogues or equivalents thereof or they can be methylated, capped or polyadenylated or be modified in other ways provided binding to one strand of the amplificate is possible. Preferred nucleotide equivalents are PNA monomers or PNA oligomers with or without positive and/or negative charges in the backbone and/or in the spacers. The agents used as probes can carry modifications which are either directly suitable for detection and/or binding to a solid support or are indirectly suitable via an additional binding pair. Preferred probe modifications (detectable groups L, immobilizable groups I) are fluorescent dyes e.g. fluorescein, rhodamine, AMCA or derivatives thereof, the binding pairs biotin:(strept)avidin, digoxigenin:anti-digoxigenin, digoxigenin:anti-digoxigenin coupled with

aequorin, fluorescein:anti-fluorescein or ruthenium chelate or aequorin. Particularly preferred probe modifications are biotin as a capture or detection modification, digoxigenin, ruthenium or rhenium chelate or aequorin as detection modifications.

In the present invention the segment of the nucleic acid from which it is intended to produce a plurality of amplicates is selected such that it contains three regions A, B and C. Regions A and C are regions selected such that one primer can use sequence A as the binding sequence and the complement of the region C can serve as the binding sequence for the other primer. A complement within the sense of the present invention is understood as a nucleic acid or nucleic acid sequence which is essentially complementary to a certain other nucleic acid e.g. a sequence region e.g. of an amplicate or of the nucleic acid to be detected.

Essentially complementary means that the base pairs are selected such that (in the case of a hybridization with another nucleic acid e.g. a probe or a primer) a hybridization can still occur under the test conditions or (in the case of an extension product of a primer relative to the template used) that it is possible to form the nucleic acid by a primer extension reaction using the corresponding nucleic acid. Hence essentially complementary often means that more than 90 % of the bases of the nucleic acid or sequence in question can form base pairs with the certain nucleic acid or sequence under stringent conditions.

Regions A and C are preferably of sufficient length according to the invention that conditions can be found

under which primers of a corresponding length can hybridize with bases in these regions. Hence the regions are preferably longer than 8, particularly preferably longer than 12 nucleotides. There are also preferred ranges in the sense of the invention with regard to the upper limit of the length of the regions A and C. Regions A and C are each preferably shorter than 30 and particularly preferably shorter than 20 nucleotides. In a special aspect of the invention the upper length of the regions is limited by the fact that the primers should be able to hybridize to them in an unspecific manner for the nucleic acid to be detected. Hence the particularly preferred length of the binding sequences A and C is 12 to 20 nucleotides. The regions A and C do not overlap on the nucleic acid to be detected.

For the purposes of the invention the segment of the nucleic acid to be detected (which corresponds to the amplicon) and hence the amplicates that are formed from this contain a sequence B that is located between the regions A and C (fig. 1 to 3). This sequence has a length of one or several nucleotides, preferably more than 4, particularly preferably more than 8 nucleotides. The maximum length of sequence B is limited by the requirement that nucleotides should not be present which belong to the binding sequence of the probe and, in a particular aspect of the invention, by the desired unspecificity of the probe. Hence sequence B is particularly preferably smaller than 30, particularly smaller than 15 nucleotides. The length of sequence B is preferably between 4 and 15 nucleotides. This sequence or the complement thereof also serves to bind the probe for the purposes of the invention. The length of the probe is selected such that a hybridization with the amplicate is possible. The sequence of the probe is

selected such that it contains a binding sequence D which is defined by the nucleotides of the probe which form base-base interactions with the amplicon and especially the nucleotides of the probe that have a base interaction with the outermost corresponding bases of the amplicon. The probe is essentially complementary to the nucleotides of the binding sequence E of the amplificate. The binding sequence D or its complement D' can be 100 % complementary to the amplificate but also have mismatches between the outer ends of the binding sequence. In addition to the binding sequence, the probe can contain additional groups or residues or nucleic acid binding regions (fig. 3, V, VI).

Various cases can be constructed depending on the length of the region B and the length of the binding sequence D or D'. In a first case the binding sequence D or D' is longer than the region B or B' of the amplicon. In this case the binding sequence D or D' extends into one or both regions A or A' and C or C' of the amplicon. These cases are shown in fig. 3, II to IV. In these cases the amplificate contains no nucleotides between the ends of the regions A and C that face away from one another which do not belong to the binding sequence E or to the binding sequences of the primers. In fig. 3, II and III the binding sequence D of the probe overlaps with one of the two binding sequences of the primers.

In a further case the length of the region B corresponds to the length of the region D such that the binding sequence of the probe does not overlap with the binding sequences of the primers (fig. 3, I).

In a preferred embodiment the method according to the

invention comprises the formation of three-part mini-amplicon (tripartite mini-amplicon) which apart from the sequences binding the primers and probe, have no additional sequences and thus avoid the disadvantages of forming longer nucleic acid amplification products while, on the other hand, the specificity of the overall amplification format is ensured by the binding of the primers, by the binding of the probe and by the course of the target-dependent enzymatic elongation reaction with all 4 nucleotide or base-specificities or natural or artificial analogues, isomers or equivalents thereof. The amplification method according to the invention is therefore also referred to as a mini-chain reaction (MCR).

If not stated otherwise in the following, the amplification of the nucleic acid sequences to be detected or their complements is carried out using the reaction steps and reaction conditions known to a person skilled in the art. One difference to conventional methods is the use of the specially selected primers and probe sequences which allow the formation and amplification of the tripartite mini-amplicons. An essential feature of the invention is the addition of one or several primers which bind to the primer binding sequences of the nucleic acid to be detected, of the tripartite mini-amplicon or to their complements.

It is common to add amplification reagents that enable amplification. Enzymatically active components (e.g. enzymes) in combination with elongation substrates and suitable auxiliary reagents (such as buffers) can be preferably used as amplification reagents. Preferred elongation substrates are nucleic acid building blocks or natural or artificial analogues or isomers or

equivalents thereof. Agents are used as elongation substrates that are suitable for constructing the complementary strand of the nucleic acid to be detected in the opposite direction. Nucleotides are preferably used as elongation substrates. Preferred nucleotides are dATP, dGTP, dCTP, dTTP and/or dUTP, dITP, iso-dGTP, iso-dCTP, deaza-dGTP and ATP, GTP, CTP, UTP and/or ITP, deazaGTP, iso-GTP, iso-CTP. Equivalents are PNA monomers or PNA oligomers with or without positive and/or negative charges in the backbone and/or in the spacer. As described above the elongation substrates can also carry modifications.

In the case of PCR the particularly preferred nucleic acid amplification reagents are mixtures of metastable or thermostable enzymatic DNA polymerases and mixtures of deoxyribonucleotides and/or ribonucleotides and suitable auxiliary reagents e.g. Taq-DNA polymerase in combination with dATP, dGTP, dCTP, dTTP and/or dUTP and auxiliary reagents such as e.g. salts and optionally detergents. Amplification reagents that are particularly preferably used in the case of RT-PCR are mixtures of thermostable enzymatic reverse transcriptase and DNA polymerase activities and mixtures of deoxyribo-nucleotides and ribonucleotides and suitable auxiliary reagents e.g. mixtures of AMV or Mo-MLV reverse transcriptase or Tth-DNA polymerase in combination with dATP, dGTP, dCTP, dTTP and/or dTUP and ATP, GTP, CTP, UTP and auxiliary reagents such as e.g. salts and optionally detergents.

2-phase or 3-phase cycles and preferably 2-phase cycles are carried out for the thermocyclic amplification reactions (e.g. PCR, RT-PCR). In the 2-phase cycles the strand separation of the nucleic acid amplification

products is carried out at a high temperature, preferably at 85°C - 95°C, the common primer annealing and primer elongation is carried out at temperatures near to the melting point between the primer and elongation strand preferably between 55°C and 75°C. The strand separation is carried out by supplying energy and/or enzymatically, preferably by an elevated temperature, microwaves or applying a potential via a microelectrode, particularly preferably by means of an elevated temperature. Up to 60 thermocycles are carried out and preferably 32 - 42. In the case of isothermal amplification reactions a continuous incubation is carried out at an average temperature between 30°C and 70°C, preferably at 37°C - 45°C with enzyme mixtures or at 60°C - 65°C with mesothermal enzyme mixtures. The incubation is carried out for up to 2 hours and preferably for 30 - 60 minutes. The amplification reaction can be carried out in reaction vessels, capillaries or miniaturized reaction chambers which can also be part of an integrated reaction chip.

When dUTP is used instead of or in addition to dTTP, dUMP instead of dTMP is incorporated by the DNA polymerase activity into the amplified nucleic acid sequence or its complement. This allows fragmentation of the amplification product and thus of its property as a nucleic acid amplification unit by incubation with the enzyme activity uracil deglycosylase, preferably with a thermolabile form of the enzyme activity in which the renaturation of the enzyme activity occurs more slowly after thermal denaturation. The UMP-containing amplification product can be incubated after the nucleic acid amplification and detection reaction (sterilization) and/or before a new nucleic acid amplification reaction (carry over prevention).

Psoralens and/or isopsoralens and derivatives thereof plus irradiation with UV light can be used alternatively to functionally inactivate the nucleic acid amplification product.

In the case of NASBA and TMA, mixtures of metastable enzymatic reverse transcriptase, DNA polymerase, RNase H and RNA polymerase and mixtures of deoxyribonucleotides and ribonucleotides and suitable auxiliary agents can be preferably used as nucleic acid amplification reagents, e.g. a mixture of AMV or Mo-MLV reverse transcriptase optionally E. coli DNA polymerase, optionally E. coli RNase H and T7, T3 or SP6-coding RNA polymerase or Mo-MLV reverse transcriptase and T7, T3 or SP6-RNA polymerase or appropriate mesostable enzymes e.g. from *Bacillus stearothermophilus* in combination with dATP, dGTP, dCTP, dTTP and/or dUTP and ATP, GTP, CTP, UTP and auxiliary agents such as e.g. salts and optionally detergents. The amplification reaction in the case of NASBA and TMA proceeds isothermally.

The formation of the amplificates is detected with the probe which binds to the binding sequence B of the amplicon to form a hybrid. The probe can act as a capture or detection probe. The ends of the binding sequence of the probe are between the outer ends of the primer binding sequences. The probe can thus hybridize with one strand of the amplificate.

Known conditions can be utilized for the probe binding since the method according to the invention is a special embodiment of the so-called hybridization tests which are known in outline to a person skilled in the field of nucleic acid diagnostics. Should experimental details

not be elaborated in the following, complete reference is made to "Nucleic acid hybridization", editor B.D. Hames and S.J. Higgins, IRL Press, 1986, e.g. in chapters 1 (hybridization strategy), 3 (quantitative analysis of solution hybridization) and 4 (quantitative filter hybridization), Current Protocols in Molecular Biology, Ed. F.M. Ausubel et al., J. Wiley and Son, 1987 and Molecular Cloning, Ed. J. Sambrook et al., CSH, 1989. The known methods also include the chemical synthesis of modified and unmodified oligonucleotides and the selection of hybridization conditions which can achieve a specificity which, among others depends on the extent of homology between the nucleic acids to be hybridized, their GC content and their length.

For this purpose, if the capture probe (in a protected form) has not already been previously added, the probe is added to the reaction mixture after the amplification reaction preferably in the form of a solution. The reagent conditions are adjusted to allow hybridization of the probe with an amplificate.

Binding between the amplified nucleic acid sequence of the amplicon and/or its complement and the probe is preferably carried out at a constant temperature between 20°C and 75°C, preferably about 0°C - 30°C, particularly preferably about 0°C - 15°C below the melting temperature of the binding complex. The incubation period is up to 4 hours, preferably 15 - 120 minutes, particularly preferably 30 - 60 minutes. Binding to the amplificate and/or to its complement is carried out with or without a prior denaturing step. The reaction procedure without a prior denaturing step is preferably used for PNA oligomers with or without negative and/or positive charges in the backbone and/or in the spacer at

low salt concentrations.

If several probes or multifunctional probes or probes which have several binding sequences for amplicates of various nucleic acids to be detected or their complements are used, it is possible to bind several different amplicates or complements thereof. In this case the formation of tripartite mini-amplicons preferably of a similar length and particularly preferably the formation of tripartite mini-amplicons of the same length allows uniform incubation conditions to be set in the nucleic acid amplification for the formation of the various binding complexes. This allows a concurrent and/or sequential detection of several nucleic acid sequences in a multiplex method.

The binding complex formed between the amplicate and probe can be detected by methods known to a person skilled in the art, in particular in various embodiments, i.e. direct detection methods such as e.g. spectroscopic or physical methods, by sequencing or by heterogeneous or homogeneous test formats.

Direct spectroscopic or physical methods are for example melting temperature determinations, attachment of intercalating or nucleic acid binding dyes or metal atoms or particles, mass spectroscopy, surface plasmon resonance or fluorescence-coupled surface plasmon resonance or E-wave measurements.

The bound tripartite mini-amplicon can be sequenced by binding the primer and subsequent enzymatic sequencing according to Sanger. Either the primer or the chain termination reagents are preferably labelled in order to

detect the sequencing products. The sequencing products can also be detected by mass spectroscopy. If only limited nucleotide types are added corresponding to the flanking nucleotides at the primer end, a mini-sequencing can be carried out which is especially advantageous for the analysis of polymorphisms.

In heterogeneous detection methods the probe can be used either as a capture probe or as a detector probe depending on the attached modification. If several probes are used it is possible to carry out multiplex formats.

If the probe is used as a capture probe, the probe can either be covalently prebound to the solid support or be prebound by means of a binding pair and the binding complex between the amplificate and the probe is formed on the solid support. In this embodiment, in addition to solid supports which contain only one type of probe, it is also possible to use supports which contain several or numerous types of probes such as e.g. probe test strips, probe panels or probe arrays on solid supports or miniaturized chips which in turn can also be a component of integrated reaction chips. These carrier-bound detection systems are particularly suitable for multiplex formats. In a preferred embodiment the complex between amplificate and capture probe is firstly pre-formed in solution and subsequently attached to the solid support. For this purpose the amplicon preferably contains an immobilizable group I which can bind to a group R located on a solid phase.

The type of solid phase depends on the group I which enables immobilization. It preferably has an

immobilizing group R which can interact in a binding manner with I. If the immobilizable group is for example a hapten, a solid phase can then be used which has antibodies against this hapten on its surface. If the immobilizable group is a vitamin such as biotin, then the solid phase can contain immobilized binding proteins such as avidin or streptavidin. Biotin and streptavidin are particularly preferred residues I and R.

Immobilization by means of a group on the modified nucleic acid is particularly advantageous since it can be achieved under milder conditions than for example hybridization reactions. In order to immobilize the nucleic acids that are formed it is preferable to fill the reaction mixture into a vessel whose surface can react with the immobilizable group either before, during or after formation of the nucleic acid hybrids. It is possible to use a solid phase in the form of a porous material such as a membrane, a fabric or a fleece on which the reaction mixture is applied. It is also possible to use beads e.g. magnetic particles or latex particles. The vessel is preferably a cuvette, a tube or a microtitre plate. The solid phase should have at least as many binding sites for the immobilizable group of the probe as there are nucleic acid hybrids and thus nucleic acids to be detected. The production of a preferred solid phase is described in EP-A-0 344 578 to the full contents of which reference is herewith made.

For the heterogeneous detection reactions the liquid phase is removed from the vessel, the porous material or from the pelleted beads after the incubation period during which the immobilization reactions takes place. The solid phase can subsequently be washed with a suitable buffer since the binding of the hybrids to the solid phase is very efficient. The bound binding

complexes can be detected according to the prior art by means of the detection modification incorporated during the nucleic acid sequence amplification reaction in the primer and/or in the probe and/or in a nucleotide with the aid of known direct or indirect types of detection for these modifications.

In the case of detectable groups such as fluorescent labels, the amount of label can be determined fluorometrically. If the detectable group can be detected indirectly e.g. a hapten, the modified nucleic acid is preferably reacted with a labelled antibody against the hapten as described analogously in EP-A-0 324 474. The label on the antibody can for example be a coloured label or fluorescent label or preferably an enzyme label such as β -galactosidase, alkaline phosphatase or peroxidase. In the case of an enzyme label the amount of nucleic acid is measured by monitoring a reaction of the enzyme with a chromogenic, chemoluminogenic or fluorogenic substrate usually photometrically, chemoluminometrically or fluorometrically. The measured signal is a measure of the amount of nucleic acid to be detected that was originally present and thus for example of the organisms to be detected.

In a preferred embodiment the amplified tripartite mini-amplicons are bound by nucleic acid capture probes or PNA capture probes which are immobilized covalently on microtitre plates or magnetic particles. In this preferred embodiment the detection takes place, after formation of the binding complex and washing, by means of a biotin modification on one or both primers in the amplificate by attachment of avidin horseradish peroxidase and a mixture of TMB/TMF colour substrates.

In a further preferred embodiment a digoxigenin detection label is incorporated via one of the nucleotides of the nucleic acid amplification reaction. The binding complex between the amplificate and a biotin-labelled nucleic acid capture probe or PNA capture probe is bound on the surface of the streptavidin-coated reaction vessel. After washing, anti-digoxigenin-horseradish peroxidase antibody conjugates are attached and the colour test is carried out with the colour substrate ABTS.

In a further preferred embodiment one or several amplificates are detected after binding using one or several different covalently (e.g. anthraquinone: UV light coupling or gold surface: SH coupling) or coordinatively (e.g. biotin:streptavidin) bound capture probes, by washing and by detecting a fluorescent or chemiluminescent signal which has been either directly excited by primary light or by means of surface plasmon resonance or E-wave with the aid of CCD cameras or confocal fluorescent scanners.

If the probe is used as a detection probe, the probe can bind either at the same time as or before or after the amplificate binds to the solid phase. In this case the amplificate is bound to the solid phase by means of modifications which have been incorporated via one or both primers or via the incorporated nucleotides. Washing and detection are subsequently carried out.

In a further embodiment the complex between the amplificate and detection probe is firstly preformed in solution and subsequently attached to the solid support and washed. The solid phase-bound binding complexes

between the amplificate and detection probe are detected by means of detection modification of the probe with the aid of known direct or indirect types of detection for these modifications according to the prior art.

In a preferred embodiment detection probes containing ruthenium chelate are bound to the amplificates which contain biotin modifications via one or both primers. The detection probes are either ruthenium-labelled oligonucleotides or ruthenium-labelled PNA oligomers. After formation of the binding complex between the ruthenium-labelled detection probe and biotin-labelled amplificate, the complex is bound to streptavidin-coated magnetic particles, transferred into a measuring cell, attached to an electrode within the measuring cell and an electrochemiluminescence signal is generated and measured.

The detection probe is labelled with digoxigenin in an additionally preferred embodiment. After formation of the binding complex between the digoxigenin-labelled detection probe and the biotin-labelled amplificate, the complex is bound by a capture probe which is immobilized covalently on a microtitre plate or on magnetic particles. After formation of the binding complex and washing, the detection is carried out in this preferred embodiment by means of a biotin modification of one or both primers in the tripartite mini-amplicon by attachment of avidin horseradish peroxidase and a mixture of TMB/TMF colour substrates.

When using homogeneous reaction formats, detection probes are used which carry either quenched fluorescent labels, internal base substitutions with double-stranded

complex-activatable fluorescent dyes or terminal energy donors or acceptors (in combination with appropriate energy donors or acceptors on neighbouring primer ends: energy transfer complexes). In these cases the detection probe is already added during the nucleic acid amplification. In the case of quenched fluorescent labels, fluorescent activation is accomplished by dequenching after binding the detection probe to the tripartite mini-amplicon that forms and exonucleolytic degradation and release of the nucleotide modified with the fluorescent dye. In the case of internal base substitutions, the fluorescent signal is generated by forming the binding complex between the detection probe and the tripartite mini-amplicon which forms. In the case of energy transfer complexes a fluorescent signal is formed by adjacent attachment of the labelled primer and the labelled probe. The resulting fluorescent signals are in each case measured preferably by real time measurements.

In a preferred embodiment fluorescein and rhodamine or derivatives thereof are used in the case of the quenched detector probes as fluorescent and quencher components. In a further embodiment ruthenium or rhenium chelates and quinones or derivatives thereof are used as electro-chemiluminescent and quencher components in the quenched detector probes. In a further preferred embodiment anthroquinone or derivatives thereof are used as internal base substituents of the detector probe. In a further embodiment Cy-5 and fluorescein or derivatives thereof are used as energy transfer components. In a special embodiment cyanine dyes such as e.g. SYBR green or acridine dyes are used.

Embodiments are particularly preferred in the sense of the invention in which at least one of the binding sequences of the primers and the probe is not specific for the nucleic acid to be detected. A sequence is specific in the sense of the invention when, as a result of a consecutive sequence of nucleobases, it would in principle be able to bind under stringent conditions only to one sequence on the nucleic acid to be detected but not to nucleic acids of other organisms or species or groups of organisms that are not to be detected. A sequence is preferably not specific for a sequence when it could hybridize with other nucleic acids under the conditions that are used to carry out the test.

A subject matter of the invention is also a method for the specific detection of a nucleic acid comprising the steps of producing a plurality of amplificates of a segment of this nucleic acid with the aid of at least two primers, contacting the amplificates with a probe which can bind to the amplificate and detecting a hybrid formed from the strand of the amplificate and the probe, wherein at least one of the primers is not specific for the nucleic acid to be detected. In this case the region B can contain nucleotides which do not belong to the binding sequence E. However, in this case it is also possible that the binding sequences of the primer and the probe overlap.

The invention also concerns a reagent kit for carrying out this method.

In a further embodiment the primers have additional sequences at their 5' end which adjoin the primer sequences in the human genome. These sequences are

between 1 and 100, particularly preferably between 5 and 80 nucleotides long. It is possible to correspondingly modify one or even both of the primers. The additional sequences are not of such length that they would prevent the primers from hybridizing with the binding sequences on the nucleic acid to be detected e.g. the HCV genome.

In a further embodiment the 5' end of one primer is linked covalently to the 5' end of the other primer. The adjoining human sequences are for example located between the 5' ends of the primers.

The primers preferably bind to the binding sequences A or C' as described above and the probe preferably binds to a region B located between the ends of the binding sequences A and C' or to the complement thereof.

Even if at least one sequence out of the 3 binding sequences of the two primers and the probe is not specific for the nucleic acid to be detected, the overall specificity of the detection method is retained. If one of the primer sequences is not specific for the nucleic acid to be detected but also binds to other nucleic acids, a specific nucleic acid amplification product cannot be formed on the other nucleic acid since the second primer binding sequence on this other nucleic acid is absent. Unspecific nucleic acid amplification products are not detected on the other nucleic acid if the specific binding sequence for the probe is absent. If the second primer sequence is also not specific for the nucleic acid to be detected, then a specific nucleic acid amplification product can only be formed on the other nucleic acid if both primer binding sequences are in the same nucleic acid amplification unit. This

nucleic acid amplification product is also not detected since the specific binding sequence for the probe is absent. If the probe sequence is not specific for the nucleic acid to be detected but both primers are specific, no nucleic acid amplification products of the other nucleic acid are formed. If, in addition to the probe sequence, one of the two primer sequences is also not specific for the nucleic acid to be detected, again no specific nucleic acid amplification product of the other nucleic acid can be formed. Unspecific nucleic acid amplification products of the other nucleic acid that may be formed contain other sequences in the probe binding region and are therefore not detected. If all three binding sequences for the two primers and the probe are not specific for the nucleic acid to be detected, no nucleic acid amplification product is formed if at least one of the two primer sequences is not located in a nucleic acid amplification unit of the other nucleic acid. If the probe sequence is not located in the nucleic acid amplification unit of the two primer sequences for the other nucleic acid, a specific nucleic acid amplification product of the other nucleic acid can indeed be formed but not detected. The only case in which a specific nucleic acid amplification product of the other nucleic acid can be formed and detected, is when all three sequences are within a nucleic acid amplification region. However, this can be avoided by appropriate selection of the sequences of the nucleic acid amplification unit.

Another method of making the primers and probes specifically unselective is to use degenerate bases within the sequence. For this it is expedient to select the region in which the hybridization of the target nucleic acid with the primer or with the probe is to

take place such that there are relatively few differences between the target sequence and another sequence which is not the sequence to be detected (e.g. of another microorganism). The differences which remain can be largely compensated by using degenerate bases at the differing base positions. Thus differences in the primers (A or G) can be compensated by incorporating the base P (6H, 8H-3,4-dihydro-pyrimido[9,5-C][1,2]oxazin-7-one, e.g. Nucleic Acids Research, vol. 17, 24, 1989, p. 10373-10383). The same applies to pyrimidines where the base K is used (Nucleorides & Nucleotides, 16 (7-9), 1507-1511 (1997)). An even stronger degeneration is possible by using inosine (US-A-5,585,477; US-A-5,691,134; US-A-5,578,467; J.Biol.Chem. 260, 5, 2605-2608, 1985; Nucl.Acids Res. 1995, 23, 13, 2499-2505) since inosine allows base pairing with all four bases.

A further method of using non-complementary bases is to replace A by D (diaminopurine) or/and to replace C by M (methylcytosine).

A further method for generating unspecific primers and probes is by mixing 2 or more sequences; thus a primer sequence can for example contain a specific part for a first organism e.g. HCV and a specific part for another organism e.g. HGBV-B. These (specific) parts can be separated by a (common) region of 1 to 7 nucleotides in length. The organisms are preferably selected such that they are probably not present in the same sample. Advantages of this embodiment are that the same primers can be used to detect different organisms and greater flexibility in the selection.

In a further embodiment the amplificates are produced using nucleotides, particularly preferably mononucleotides which are each complementary to A, G, C and/or T. The region B or B' of the nucleic acid to be detected preferably contains all 4 natural nucleobases.

In a further embodiment of the novel method partial components (primers or probes) of the various primer-probe combinations can be identical for the various nucleic acids to be detected. This enables the determination of several nucleic acid targets, e.g. for different viruses such as HBV, HIV and HCV using a single amplification reaction (multiplex). A technical advantage of the method according to the invention is that in multiple determinations of a sample a high degree of agreement of the measured values is achieved.

In the following the two aspects of the present invention are described on the basis of a HCV test. The nucleic acid sequence of HCV is for example described in EP-B-0 318 216. The sequences of its components are shown in figure 4. The method according to the invention enables a highly specific and highly sensitive detection of viral nucleic acids such as e.g. HCV-RNA from the 5' non-translated region of the HCV genome at a copy number of 10 copies per test with a dynamic range of 10^5 due to an improved signal-noise ratio. This is surprising since primers and probes can be used in the test which do not have a primer/probe design that would be preferred by a person skilled in the art i.e. they have sequence sections that tend to form primer dimers or base mismatches near the 3' end. The short probe has a melting point near to the test temperature so that a person skilled in the art would not have expected a stable binding of the probe to the nucleic acid

amplification product. In the previous tests using the longer, five-part nucleic acid amplification products it has previously not been attempted to increase the specificity and sensitivity by shortening the primer-probe sequences and/or the nucleic acid amplification product with the signal-generating component but rather elongating them.

Surprisingly it is possible to detect HCV-RNA specifically and reproducibly in positive HCV plasma samples in which the HCV-RNA was not sequence-specifically prepurified but was used directly from lysed plasma samples that were concentrated by means of glass surfaces despite the short amplified sequence of the nucleic acid to be detected. HCV-negative plasma samples result in no signal. This is surprising since the HCV-RNA genome is very susceptible to fragmentation in plasma lysates. The primers and probes that were used also give no signal with for example HIV plasma samples, HBV serum samples, chlamydia samples from urine or human DNA samples from whole blood which have also been concentrated by means of glass surfaces.

The method according to the invention can be used to avoid one or several of the disadvantages described for the prior art or to realize one or several of the following advantages. PCR cycles can be very much shorter. The overall time for the detection method can thus be shortened. The sensitivity of the test can be increased since less competition/displacement between the short complementary strand of the amplicon and the detection probe can take place. The specificity of the test is increased since the relative proportion of the internal detector region is increased in relation to the total amplicon. The ability to differentiate between

subtypes can be increased. The test background can be reduced since short amplicons have less potential for unspecific hybridization. Consequently the signal-noise ratio can be increased. The reproducibility of the results can be increased since smaller target regions on RNA genomes are less sensitive to RNA degradation. The potential for forming secondary structures is reduced.

The invention is elucidated in more detail by the following examples:

General

All oligonucleotides used are linear and single-stranded.

Example 1

Detection of HCV from human blood

a) Sample preparation:

RNA was isolated from plasma using the following sample preparation protocol:

1. mix plasma (420 μ l) with 80 μ l proteinase K (25 mg/ml) and vortex for a few seconds
2. add 500 μ l lysis buffer (incl. 1 μ g carrier-RNA (polyA)/ml): 5.4 M guanidinium thiocyanate; 10 mM urea; 10 mM Tris-HCl; 20 % Triton X 100; pH 4.4
3. vortex and subsequently shake for 10 min at RT
4. add 500 μ l isopropanol-MGP (6 mg magnetic glass particles in isopropanol)
5. vortex and subsequently shake for 20 min at RT
6. magnetically separate the MGPs
7. remove and discard the supernatant
8. add 750 μ l wash buffer: 20 mM NaCl; 20 mM Tris-HCl pH 7,5; 70 % ethanol
9. resuspend the MGPs on a vortex mixer and again separate magnetically
10. repeat wash process 5-times overall

11. add 100 μ l DEMC water for the elution
12. shake for 15 min at 80 °C
13. separate magnetically
14. use 10 μ l of the eluate in the RT-PCR

b) Cloning and preparation of the RNA standard:

The wild-type standard "pHCV-wt" was firstly obtained by amplifying a section of the HCV genome using the primers KY80 (5'-gcagaaagcgtctagccatggcgt-3', SEQ.ID.NO.1) and KY78 (5'-ctcgcaagcaccctatcaggcagt-3', SEQ.ID.NO.2) and the amplicon was subsequently cloned into the vector pBluescript SK+ by means of a so-called blunt end cloning. The plasmid was isolated after growing the bacterial cells, it was linearized by restriction enzymatic digestion and the corresponding RNA fragment was obtained by in vitro transcription and purified.

The RNA was quantified by photometric measurement of the absorbence at 260 nm.

All the molecular biological methods described here can be taken from the relevant method books (e.g. Maniatis et al.; Ausubel et al.).

c) RT-PCR assay:

The amplification was carried out using the reagents and cyclers protocol mentioned above:

reagents	final concentration in the master mix
5 x RT-PCR-Puffer	1 x
MnOAc	2.5 mM
Tth-Polym.	10 u
dNTP-Mix	200 μ M (dATP, dCTP, dGTP) / 600 μ M (dUTP)
UNG	2u
Primer forw. HC2F	0.3 μ M (5'-agtatgtgtgtcgtgcagcc-3', SEQ.ID.NO.3)
Primer rev. HC1F-bio	0.3 μ M (5'bio--tggtctctccgggagtgg-3', SEQ.ID.NO.4)

The amplification was carried out according to the following cycler protocol:

10 min	37 °C	decontamination by UNG
30 min	60 °C	reverse transcription
1 min	95 °C	denaturation

35 cycles:

15 sec	94 °C	denaturation
20 sec	56 °C	primer-annealing and elongation
7 min	72 °C	elongation
hold	50 °C	

d) Detection:

The complete detection reaction was carried out fully automated on an Elecsys® 1010-analyzer (Boehringer Mannheim GmbH). Brief description:

1. remove 10 μ l amplificate and 35 μ l denaturing solution (BM-Id-No. 1469053)
2. incubate in a reaction vessel for 5 min at 37°C

3. add 130 μ l hybridization solution BM-Id-No. 146 9045 containing 25 ng/ml ruthenium-labelled probe
4. incubate for 30 min at 37°C
5. add 35 μ l of an Elecsys[®] SA magnetic bead solution (BM-Id-No. 171 9556)
6. incubate for 10 min at 37°C
7. measure the electrochemiluminescence of 120 μ l of the reaction mixture in the Elecsys[®] 1010 measuring cell

Two different ruthenium-labelled probes were used for the hybridization:

PNA-probe: Ru-(Ser)₂-TCCAGGACCC-Ser-Gly

DNA-probe: 5'-Ru-CTCCAGGACCCC-3', SEQ.ID.NO.5

Example 2

Determination of the analytical sensitivity on the basis of an RNA standard dilution series

10^1 , 10^2 , 10^3 , 10^4 and 10^5 copies of the HCV-RNA standard were amplified in duplicate determinations. A HCV-negative plasma, a HCV-positive plasma (after sample preparation) and water were used as controls. All probes were measured after amplification (ECL detection, Elecsys[®]1010).

Result (units x 100):

template	PNA-probe		DNA-probe	
	1st det.	2nd det.	1st det.	2nd det.
RNA-Std. 10^5 copies	30608	30186	16791	15772
RNA-Std. 10^4 copies	17895	15737	8977	7718
RNA-Std. 10^3 copies	4137	4345	1911	1931
RNA-Std. 10^2 copies	280	163	146	86
RNA-Std. 10^1 copies	95	76	47	37
HCV-positive plasma	26658	26262	14996	14552
HCV-negative plasma	93	98	49	48
water	61	45	19	15

- The use of the primer HC2F/HC1F-bio resulted in a very good amplification in the RT-PCR as measured by the signal level: the total detection range of the Elecsys[®] was used (ca. 5 log steps).
- There is a very good gradation of the signals within the dilution series.
- The background measured with the HCV-negative plasma and water is relatively low.
- PNA as well as DNA can be used as a probe.

Example 3

Examination of the specificity of the HCV assay

Different starting nucleic acids (human genomic DNA; HIV-RNA; HBV-DNA, chlamydia-DNA) were tested using the primers and probes mentioned above. HCV plasma served as a positive control and HCV-negative plasma and water served as a negative control.

Result (units x 100):

template	PNA-probe		DNA-probe	
	1st det.	2nd det.	1st det.	2nd det.
human genomic DNA from whole blood	52	45	41	56
HIV-positive plasma	43	60	39	33
HBV-positive plasma	53	40	25	27
chlamydia-positive urine	43	34	19	17
HCV-positive plasma	11543	10644	6900	6348
HCV-negative plasma	65	67	45	40
water	29	25	15	15

- Both probes (PNA, DNA) only resulted in a signal in the ECL measurement with their corresponding analytes. This means that there are no detectable unspecific amplifications with the primers and probes that are used.

Example 4

Examination of the probe specificity

Different amplicates of other analytes were prepared for this experiment using the respective specific primers and then hybridized with the PNA and DNA probes described above. The corresponding analyte probe was used in each case to check the amplifications.

Result (units x 100): (in each case average of duplicate determinations)

template	PNA-probe for HCV	DNA-probe for HCV	HIV-probe	HBV- probe	chlamydia- probe
HIV	13	6	11908	nd	nd
HBV	13	13	nd	1384	nd
chlamydia	10	10	nd	nd	3842
HCV	10132	9345	nd	nd	nd
water	13	9	nd	nd	nd

- The control reactions (HIV, HVB, chlamydia) showed the clear detection of amplicate by the corresponding probe.
- The PNA and DNA probes that were used only gave a specific signal with HCV.
- No unspecific hybridizations of the PNA/DNA probes occurred with other amplicates.

Example 5

Detection of HCV using alternative primers

A further combination of primers with a capture probe is shown in fig. 8 which contains no free nucleotides in the spanned region. The amplicon is only 51 base(pairs) long.

Claims

1. Method for the detection of a nucleic acid comprising the steps

- producing a plurality of amplificates of a section of this nucleic acid with the aid of two primers, one of which can bind to a binding sequence (A) of one strand of the nucleic acid and the other can bind to a binding sequence C' which is essentially complementary to a sequence C which is located in the 3' direction from A and does not overlap A,
- contacting the amplificates with a probe having a binding sequence D which can bind to a sequence B located between the sequences A and C or to the complement thereof, and
- detecting the formation of a hybrid of the amplificate and probe,

wherein the sequence located between the binding sequences A and C contains no nucleotides that do not belong to the sequence region E formed from the binding sequence D of the probe and the sequence of the amplificate bound thereto and the amplificate does not exceed a total length of 100 nucleotides.

2. Method as claimed in claim 1, wherein the binding sequence D of the probe overlaps one or both binding sequences of the primers.

3. Method as claimed in one of the previous claims, wherein at least one of the primers has nucleotides in its non-extendible part which do not hybridize directly with the nucleic acid to be detected or with its complement.
4. Method as claimed in one of the previous claims, wherein at least one of the binding sequences is not specific for the nucleic acid to be detected.
5. Method as claimed in one of the previous claims, wherein the total length of the amplicates does not exceed 61 nucleotides.
6. Method as claimed in one of the previous claims, wherein at least one of the primers is immobilizably-labelled and the probe is detectably-labelled.
7. Method as claimed in one of the previous claims, wherein at least one of the primers is detectably-labelled and the probe is immobilizably-labelled or is immobilized.
8. Method as claimed in one of the previous claims, wherein the probe is labelled with a fluorescence quencher as well as with a fluorescent dye.
9. Method as claimed in one of the previous claims, wherein one of the primers is labelled with a first energy transfer component and the probe is labelled with a second energy transfer component which is different from the first energy transfer component.

10. Method as claimed in one of the previous claims, wherein the amplificate is detected by physical and/or spectroscopic methods.
11. Method as claimed in one of the previous claims, wherein at least one of the primers is not specific for the nucleic acid to be detected.
12. Method as claimed in claim 11, wherein two of the primers are not specific for the nucleic acid to be detected.
13. Method as claimed in one of the claims 11 and 12, wherein the probe is not specific for the nucleic acid to be detected.
14. Method as claimed in one of the previous claims, wherein nucleotides which are each complementary to A, G, C and T are used in the amplification.
15. Method as claimed in one of the previous claims, wherein the amplificates are detected by means of mass spectroscopy.
16. Method for the specific detection of a nucleic acid comprising the steps
 - producing a plurality of amplificates of a section of this nucleic acid with the aid of at least two primers,
 - contacting the amplificates with a probe which can bind to the amplificate and

- detecting the formation of a hybrid of the amplificate and the probe,

wherein at least one of the primers is not specific for the group of organisms to which the organism to be detected belongs and the total length of the amplificate does not exceed 100 base pairs.

17. Method as claimed in claim 16, wherein two of the primers are not specific for the nucleic acid to be detected.
18. Method as claimed in one of the claims 16 and 17, wherein the probe is not specific for the nucleic acid to be detected.
19. Method as claimed in one of the claims 16 to 18, wherein nucleotides which are each complementary to A, G, C and T are used in the amplification.

Abstract

Method for the detection of a nucleic acid comprising the production of a plurality of amplificates of a section of this nucleic acid with the aid of two primers, one of which can bind to a binding sequence A of the nucleic acid and the other can bind to a binding sequence C' which is complementary to a sequence C which is located in the 3' direction from A and does not overlap with A, contacting the amplificates with a probe having a binding sequence D which can bind to a sequence B which is located between the sequences A and C or to the complement thereof, and detecting the formation of a hybrid of the amplificate and probe where the sequence located between the binding sequences A and C contains no nucleotides that do not belong to the binding sequence D of the probe or its complement D'.

Fig. 1

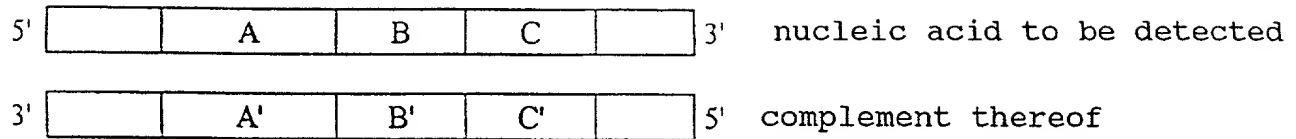


Fig. 2

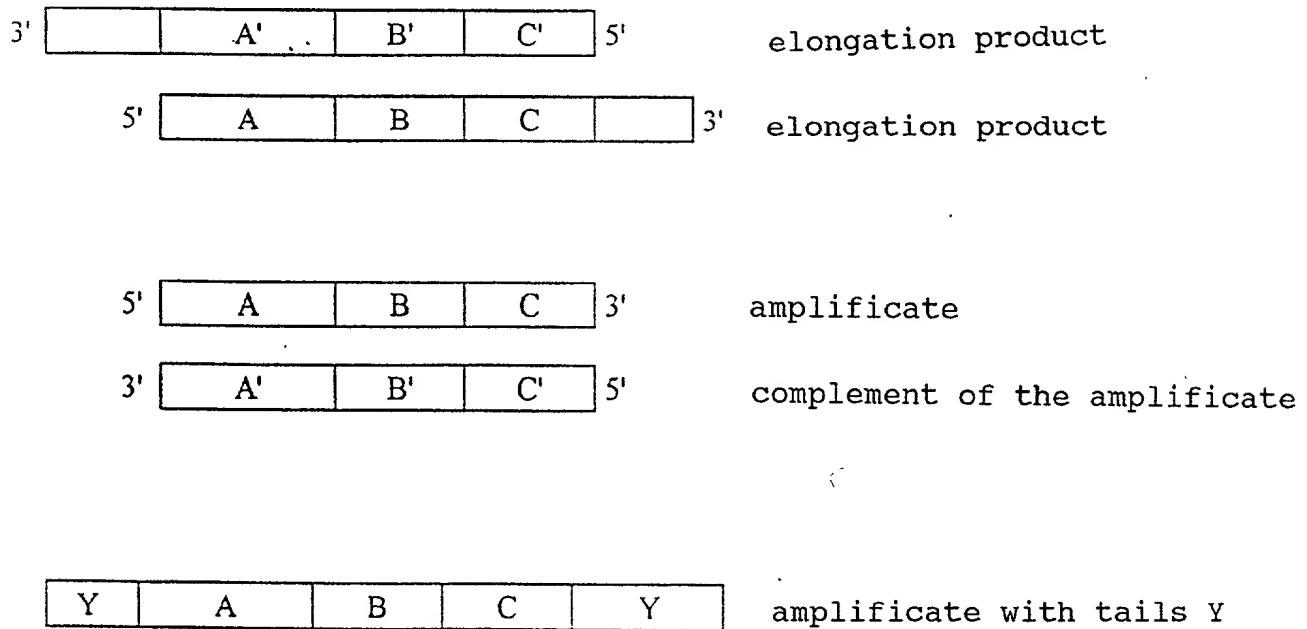
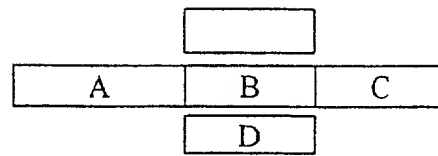
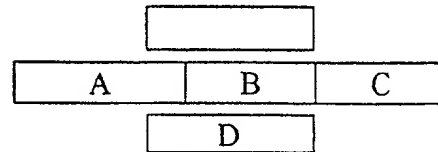


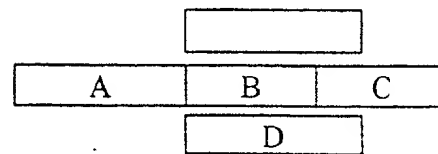
Fig. 3



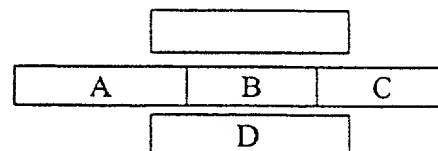
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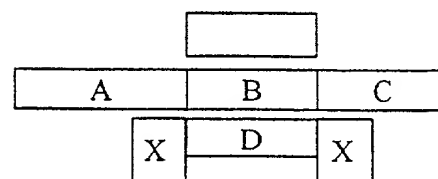
II



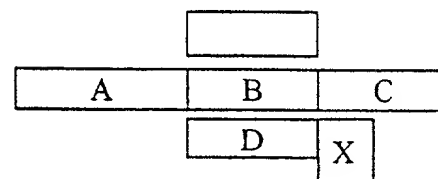
III



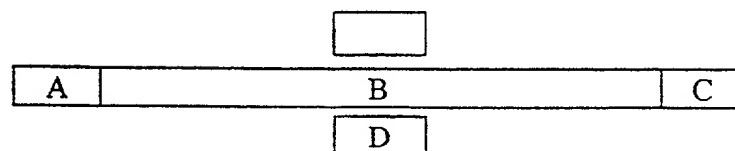
IV



V



VI



VII

HCV AGTATGAGTGTCGTGCAGCCTCCAGGACCCCCCTCCC GGGAGAGCCA
HUMAN AGTATGTGTGTCGTGCAGCCTCCAGGACCCCCTCCC GGGAGAGCCA

FIG. 5

```
HVCMCR01      AGTATGTGTGTCGTGCAGCC
MPF1           CCAGGACCCCCCACTCCCCG
MPF1+1         TCCAGGACCCCCCACTCCCCG
MPF2           CCAGGACCCCCCACTCC
HCV_1A         AGTATGAGTGTGTCGTGCAGCCTCCAGGCCCCCCCCCTCCCCGGAGAGCCA
HCV_1B         AGTATGAGTGTGTCGTGCAGCCTCCAGGCCCCCCCCCTCCCCGGAGAGCCA
HCV_2B         AGTATGAGTGTGTCGTGCAGCCTCCAGGACCCCCCTCCCCGGAGAGCCA
HCV_MCR        AGTATGTGTGTCGTGCAGCCTCCAGGACCCCCCACTCCCCGGAGAGCCA
MPR1_rev&compl GTGTGTGTCGTGCAGCCTCCAGGA
MPR2_rev&compl  TCGTGCAGCCTCCAGGA
HVCMCR02_rev&compl #1
                  CCAGTCCCCGGAGAGCCA
```

Δ3H

Forward primer CK10/Reverse primer CK20
Forward primer CK11/Reverse primer CK20

Forward primer CK10-1/Reverse primer CK20-1
Forward primer CK11-1/Reverse primer CK20-1

Forward primer CK10-2/Reverse primer CK20-2
Forward primer CK11-2/Reverse primer CK20-2

Forward primer CK10/Reverse primer CK21
Forward primer CK10-1/Reverse primer CK21-1
Forward primer CK11-1/Reverse primer CK21-1
Forward primer CK10-1/Reverse primer CK21-2
Forward primer CK11-1/Reverse primer CK21-2
Forward primer CK10-2/Reverse primer CK21-3
Forward primer CK11-2/Reverse primer CK21-3

[illegible]

11GBV-B

389 5' -CGTACTGCCCTGATAGGGTCCTTGGGAGGGGATCTGGGAGTCTCGTAGACCGTAGCACATGC-3' 449

FIG 7

HCV

261 5'-GGTACTGCCTGATAGGGTGCTTCGGAGTGCCCCGGGAGGTCTCTAGACCGTGCACCATGA-3' 333

Foreward primer CK12/Reverse primer CK22

5'-CGTAMTGMGTGATAGGGT-3'

3'-CCTTCAGAGCATCTGGCATCGTGTACG-5'

Foreward primer CK12-1/Reverse primer CK22-1

5'-CGTAMTGMGTATAGGGT-3'

3'-CMTTATAGAIMATTTTIGMATMGTTGTAMG-5'

Foreward primer CK12-1/Reverse primer CK22-2

5'-CGTAMTGMMTATAGGGT-3'

3'-CMTTPMAGAIMATMTGGMATMGTTGTAMG-5'

Foreward primer CK12-1/Reverse primer CK22-3

5'-CGTAMTGMMTATAGGGT-3'

3'-MMTTPMAGAIMATMTGGMATMGTTGTAMG-5'

Foreward primer CK12-2/Reverse primer CK22-4

5'-CGTDMTGMMTIDTGGGT-3'

3'-CMTTPMAGAIMATMTTIGMAPPKPGTAMG-5'

Foreward primer CK12-2/Reverse primer CK22-5

5'-CGTDMTGMMTIDTGGGT-3'

3'-MMTTPMAGAIMATMTGGMAPPKPGTAMG-5'

Foreward primer CK12/Reverse primer CK23

5'-CGTAMTGMGTGATAGGGT-3'

3'-CCTCAAGAGCGTCTGGCATCGTGTACG-5'

Foreward primer CK12-1/Reverse primer CK23-1

5'-CGTAMTGMMTATAGGGT-3'

3'-CMTTATAGAGMI TMTGGMATMGTTGTAMG-5'

Foreward primer CK12-1/Reverse primer CK23-2

5'-CGTAMTGMMTATAGGGT-3'

3'-CMTTKAGAGMI TMTGGMATMGTTGTAMG-5'

Foreward primer CK12-2/Reverse primer CK23-3

5'-CGTDMTGMMTIDTGGGT-3'

3'-MMTTKAGAGMI TMTGGMAPPKPGTAMG-5'

Foreward primer CK12/Reverse primer CK24

5'-CGTAMTGMGTGATAGGGT-3'

3'-CCTCAGAGCGTCTGGCATCGTGTACG-5'

Foreward primer CK12/Reverse primer CK24-1

5'-CGTAMTGMGTATAGGGT-3'

3'-CMTTATAGAGMI TMTGGMATMGTTGTAMG-5'

Foreward primer CK12-1/Reverse primer CK24-2

5'-CGTAMTGMMTATAGGGT-3'

3'-CMTTKKAGAGMI TMTGGMATMGTTGTAMG-5'

Foreward primer CK12-2/Reverse primer CK24-3

5'-CGTDMTGMMTIDTGGGT-3'

3'-MMTTKKAGAGMI TMTGGMAPPKPGTAMG-5'

HGBV-B

389 5'-CGTACTGCCTGATAGGGTGCTTCGGAGGGGATCTGGAGTCTCTAGACCGTAGCATGC-3' 449

7/7

nt -281 nt -231

HCV: TCTTC--ACGCAG-AAAGCGTCTAGCCATGGCGTTAGTATGAGTGTCGTGCAGC

BVDV: TCAGCGAAGGCCGAAAAGAGGCTAGCCATGCCCTTAGTAGGA----CTAGCATA

F.primer-GH3: 5'-TCaTCACGCAGAcAGCGTCTAG-3'

R.primer-GH4: 5'-GgTGCACGACAgTCATACTAA-3'

capture probe-GHp2: CTAGCCATGcCGTT

amplicon-size: 51 bp

FIG. 8

Application Serial No: 09/530,929
Filed on May 4, 2000
Attorney Docket No. 4817/0P

Declaration and Power of Attorney for Patent Application

As the below named inventor(s), We hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

SPECIFIC AND SENSITIVE NUCLEIC ACID DETECTION METHOD

the specification of which (check one)

☐ is attached hereto.

☐ was filed on _____ as

Application Serial No. _____

and was amended on _____ (if applicable).

☒ was filed on November 3, 1998 as

PCT International Application Serial No. PCT/EP98/06951

and was amended under PCT Article 19 on January 13, 2000 (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR §1.56.

I hereby claim foreign priority benefits under 35 U.S.C. §119(a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate, or §365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)

Priority Claimed

<u>197 48 690.8</u> (Number)	<u>DE</u> (Country)	<u>4 November 1997</u> (Day/Month/Year Filed)	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
<u>198 14 001.0</u> (Number)	<u>DE</u> (Country)	<u>28 March 1998</u> (Day/Month/Year Filed)	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No

198 14 828.3
(Number)

DE
(Country)

2 April 1998
(Day/Month/Year Filed)

☒ [X]
Yes

☐ []
No

I hereby claim the benefit under 35 U.S.C. §119(e) of any United States provisional application(s) listed below.

(Application No.)

(Filing Date)

(Application No.)

(Filing Date)

(Application No.)

(Filing Date)

(Application No.)

(Filing Date)

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s), or §365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

PCT/EP98/06951
(Application Serial No.)

November 3, 1998
(Filing Date)

Pending
(Status) (patented, pending, abandoned)

(Application Serial No.)

(Filing Date)

(Status) (patented, pending, abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

POWER OF ATTORNEY: As a named inventor, I hereby appoint the practitioners at Customer Number 22829 to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

Send Correspondence to: Customer Number 22829

Direct Telephone Calls to: Victor K. Lee, Ph.D.
(510) 814-2966

1-10 CHRISTOPH KESSLER

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Sole Or First Inventor's Signature

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Knut Bartl

Third Inventor's Signature

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Date

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Full Name Of Fourth Joint Inventor, If Any

X [Signature]

Fourth Inventor's Signature

X September 7th, 00

Date

Vaerlose, Denmark

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Denmark

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Post Office Address

Title 37, Code of Federal Regulations, §1.56, duty to disclose information material to patentability provides, in part, that each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclose information exists with respect to each pending claim until the claim is cancelled or withdrawn from consideration, or the application becomes abandoned.

Under this section, information is material to patentability when it is not cumulative to information already of record or being made of record in the application, and

- (1) It establishes, by itself or in combination with other information, a prima facie case of unpatentability of a claim; or
- (2) It refutes, or is inconsistent with, a position the applicant takes in:
 - (i) Opposing an argument of unpatentability relied on by the Office, or
 - (ii) Asserting an argument of patentability.

SEQUENCE PROTOCOL

(1) GENERAL INFORMATION:

(i) APPLICANT:

- (A) NAME: Boehringer Mannheim GmbH
- (B) ROAD: Sandhoferstr. 116
- (C) CITY: Mannheim
- (E) COUNTRY: DE
- (F) POSTAL CODE: 68305
- (G) TELEPHONE: 0621 759 4348
- (H) TELEFAX: 0621 759 4457

(ii) TITLE OF INVENTION:

Specific and sensitive nucleic acid
detection method

(iii) NUMBER OF SEQUENCES: 5

(iv) COMPUTER READABLE FORM:

- (A) DATA CARRIER: Floppy disk
- (B) COMPUTER: IBM PC compatible
- (C) OPERATING SYSTEM: PC-DOS/MS-DOS
- (D) SOFTWARE: PatentIn Release #1.0,
version #1.30 (EPO)

(2) INFORMATION FOR SEQ ID NO: 1:

(i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 24 base pairs
- (B) TYPE: nucleotide
- (C) STRANDEDNESS: single strand
- (D) TOPOLOGY: linear

(ii) TYPE OF MOLECULE: other nucleic acid

- (A) DESCRIPTION: /desc="oligodeoxyribonucleotide"

(xi) SEQUENCE DESCRIPTION: SEQ ID NO: 1:

GCAGAAAGCG TCTAGCCATG GCGT

24

(2) INFORMATION FOR SEQ ID NO: 2:

(i) SEQUENCE CHARACTERISTICS:

(A) LENGTH: 24 base pairs

(B) TYPE: nucleotide

(C) STRANDEDNESS: single strand

(D) TOPOLOGY: linear

(ii) TYPE OF MOLECULE: other nucleic acid

(A) DESCRIPTION: /desc = "oligodeoxyribonucleotide"

(xi) SEQUENCE DESCRIPTION: SEQ ID NO: 2:

CTCGCAAGCA CCCTATCAGG CAGT

24

(2) INFORMATION FOR SEQ ID NO: 3:

(i) SEQUENCE CHARACTERISTICS:

(A) LENGTH: 21 base pairs

(B) TYPE: nucleotide

(C) STRANDEDNESS: single strand

(D) TOPOLOGY: linear

(ii) TYPE OF MOLECULE: other nucleic acid

(A) DESCRIPTION: /desc="oligodeoxyribonucleotide"

(xi) SEQUENCE DESCRIPTION: SEQ ID NO: 3:

AGTTATGTGT GTCGTGCAGC C

21

(2) INFORMATION FOR SEQ ID NO: 4:

(i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 18 base pairs
- (B) TYPE: nucleotide
- (C) STRANDEDNESS: single strand
- (D) TOPOLOGY: linear

(ii) TYPE OF MOLECULE: other nucleic acid

(A) DESCRIPTION: /desc="oligodeoxyribonucleotide"

(xi) SEQUENCE DESCRIPTION: SEQ ID NO: 4:

TGGCTCTCCC GGGAGTGG

18

(2) INFORMATION FOR SEQ ID NO: 5:

(i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 12 base pairs
- (B) TYPE: nucleotide
- (C) STRANDEDNESS: single strand
- (D) TOPOLOGY: linear

(ii) TYPE OF MOLECULE: other nucleic acid

(A) DESCRIPTION: /desc="oligodeoxyribonucleotide"

(xi) SEQUENCE DESCRIPTION: SEQ ID NO: 5:

CTCCAGGACC CC

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